IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

COX, et al.

Filed:

March 24, 2004

Title:

METHOD FOR BLOCKING DENIAL OF SERVICE

AND ADDRESS SPOOFING ATTACK ON

A PRIVATE NETWORK

Mail Stop PATENT APPLICATION

Commissioner for Patents P.O. box 1450 Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form, and previously cited in U.S. Application Serial No. 09/040,898 filed March 18, 1998, by Applicants and entitled "Method for Blocking Denial of Service and Address Spoofing Attacks on a private Network" be considered and cited in the examination of the above-identified continuation patent application. Pursuant to 37 C.F.R. § 1.98(d), copies of these references are not being furnished. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Pursuant to 37 C.F.R. § 1.97(b), no fee is believed due. If, however, Applicant has overlooked the need for a fee, the Commissioner is hereby authorized to charge any underpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicants

Luke K. Pedersen Reg. No. 45,003

Date:

3/24/04

Application No. Applicant(s) PTO-1449 To be determined Dennis Cox et al. **Information Disclosure Citation** Docket Number Group Art Unit Filing Date In an Application 062891.0638 March 24, 2004 **U.S. PATENT DOCUMENTS CLASS SUBCLASS** DOCUMENT NO. DATE **NAME** FILING DATE 370 19 4,438,511 03/20/84 Baran Α 06/09/87 713 202 4,672,572 Alsberg В 379 07/07/87 98 4,679,227 Hughes-Hartogs 379 98 D 4,731,816 03/15/88 **Hughes-Hartogs** 370 4,757,495 07/12/88 Decker, et al. 76 E 364 200 F 4,769,771 09/06/88 Lippmann et al. 370 4,771,425 09/13/88 Baran, et al. 85 G 370 04/04/89 Baran, et al. 85 Н 4,819,228 4,833,706 05/23/89 Hughes-Hartogs 379 98 Ι 4,903,261 02/20/90 Baran, et al. 370 94.2 J 12/25/90 Decker, et al. 375 38 K 4,980,897 03/07/00 9 Collins 707 L 5,003,595 FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NO. CLASS **SUBCLASS** DATE COUNTRY YES NO WO/97/37458 10/09/97 **PCT** M N 0 **DOCUMENT (Including Author, Title, Source, and Pertinent Pages)** DATE P Webpage titled "Keeping Mobile Users Secure" by Dave Kosiur 02/11/98 Webpage titled "After Ping of Death, 'Land' Attack Disables some Mac Systems" by Ric Ford Q 02/08/97 R Webpage titled "How Not to be Victim of the Next Hacker Attack" by Annette Hamilton 12/18/96 S "Utopia Specification Level 1, Version 2.01," (af-phy-0017), The ATM Forum Committee, 19 pp. 03/21/94 T Anthony Alles, "ATM Internetworking," Cisco Systems, Inc., 59 pp. 05/95 **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to the applicant.

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| | С | 5,032,979 | 07/16/91 | Hech | it et al. | 713 | 201 | | | |
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| | В | 5,274,631 | 12/28/93 | Bhar | dwaj | 370 | 60 | | | | |
| | С | 5,274,635 | 12/28/93 | Rahn | nan, et al. | 370 | 60.1 | | | | |
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| | I | 5,390,239 | 02/14/95 | Morr | is, et al. | 379 | 93 | | | | |
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| | L | 5,430,715 | 07/04/95 | Corb | alis, et al. | 370 | 54 | | | | |
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| | J | 5,764,636 | 06/09/98 | Edsa | 11 | 370 | 401 | | | | |
| | K | 5,764,641 | 06/09/98 | Lin | | 370 | 412 | | | | |
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| | В | 5,781,550 | 07/14/98 | Tem | plin et al. | 370 | 401 | | | |
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| | D | 5,784,559 | 07/21/98 | Fraz | ier et al. | 395 | 200.13 | | | |
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| | J | 5,796,732 | 08/18/98 | Maz | zola, et al. | 370 | 362 | | | |
| | K | 5,799,017 | 08/25/98 | Gupt | a, et al. | 370 | 419 | | | |
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| | В | 5,812,618 | 09/22/98 | Mun | tz, et al. | 375 | 372 | | | | |
| | С | 5,812,786 | 09/22/98 | Seaz | holtz, et al. | 395 | 200.63 | | | | |
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| | F | 5,828,846 | 10/27/98 | Kirb | y, et al. | 395 | 200.68 | | | | |
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| | K | 5,838,915 | 11/17/98 | Klau | smeier, et al. | 395 | 200.45 | | | | |
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| | A | 5,859,550 | 01/12/99 | Bran | dt | 327 | 156 | | | | | | |
| | В | 5,864,542 | 01/26/99 | Gup | ta, et al. | 370 | 257 | | | | | | |
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